



About Goss Advisors

WE SUPPORT
SUCCESSFUL
FINANCIAL ADVISORS

Founded in 2015, Goss Advisors (GWM Advisors, LLC) is an SEC Registered Investment Adviser (RIA) providing specialized business services to a select network of over 100 independent financial advisors.

We built Goss Advisors with a simple concept of creating a firm that supports successful financial advisors who, above all, adopt a client-first philosophy. Although each team operates independent practices, we provide a platform that encourages a culture of collaboration and idea-sharing across the network

Collectively, Goss Advisors is a community of industry-leading financial advisors shaping the future of independent advice and helping American families prepare for their financial future.

HOW WE SUPPORT YOUR FINANCIAL ADVISOR

PRACTICE MANAGEMENT & BUSINESS SUPPORT:

Our staff of professionals is solely focused on supporting the practice management and business needs of our affiliated partners providing them with the flexibility to manage their client relationships.

COMPLIANCE: The experienced Compliance team at Goss Advisors provides sophisticated compliance oversight to ensure all practices are operating under the most up-to-date federal and state regulatory requirements.

CUSTODIAL ACCESS: We provide Investment Adviser Representatives (IARs) with connectivity to the industry's largest and most trusted custody and clearing platforms. Goss Advisors does not directly custody client assets.

INVESTMENT MANAGEMENT SOLUTIONS:

Goss Advisors provides access to elective proprietary and 3rd party investment management solutions. The firm utilizes its size and scale to reduce the normal cost to access such solutions.

BACK-OFFICE & OPERATIONS SUPPORT:

Our home office provides back-office support to independent advisor practices and their staff, so they can focus more of their time serving their clients.

HUMAN RESOURCES: Our firm assists independent practices with staffing, candidate sourcing, problem-solving, payroll, and benefit services.

CORPORATE SNAPSHOT



\$8.06B*

ASSETS SERVICED



46,594

CLIENT ACCOUNTS SERVICED



50

BRANCH OFFICE LOCATIONS



105

FINANCIAL ADVISORS



4

CUSTODIAL PARTNERS



25

GOSS SUPPORT STAFF

INDUSTRY AWARDS



**Top Financial
Advisers 2019**

FINANCIAL TIMES 300 RANKING JUNE 2019



**Top Financial
Advisers 2020**

FINANCIAL TIMES 300 RANKING JULY 2020

As of 12/31/2020

Let's talk.

For more information call (504) 459-4391
or www.gossadvisors.com.

*Assets Serviced reflects advisory assets of \$5,602,376,647 and brokerage assets of \$2,465,793,695 as of 12/31/2020.

DISCLOSURES

Goss Advisors is a Registered Investment Adviser. Advisory services are only offered to clients or prospective clients where Goss Advisors and its representatives are properly registered or exempt from registration. Past performance is no guarantee of future returns. Investing involves risk and possible loss of principal capital. No advice may be rendered by Goss Advisors unless a client service agreement is in place. Goss Advisors' services will only be provided in states where Goss Advisors is registered or may be exempt from registration.

Securities are offered through LPL Financial, Member FINRA/SIPC, Triad Advisors, Member FINRA/SIPC, or Mid Atlantic Capital Corporation (MACC). Representatives of Goss Advisors may be affiliated with one of these entities and will offer securities only where properly registered to do so. Goss Advisors is a separate entity from both LPL Financial and Triad Advisors. Goss Advisors is an affiliated entity to Mid Atlantic Capital Corporation and under the common control of NewEdge Capital Group LLC.

Financial Times Top 300 Advisers award is based upon assets under management as of July 1st of 2020. Award based on: asset growth, compliance record, years in existence, credentials, and online accessibility.